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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/024,681	12/18/2001	Rajiv Manucha	130-0002US	2931

29855 7590 03/24/2004

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HOUSTON, TX 77070

EXAMINER

KINDRED, ALFORD W

ART UNIT	PAPER NUMBER
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2172

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DATE MAILED: 03/24/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/024,681

Applicant(s)

MANUCHA ET AL.

Examiner

Alford W. Kindred

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 December 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-22 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is responsive to communication: Application filed on 12/18/01.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-22 are rejected under 35 U.S.C. 102(e) as being anticipated by Hancock et al., US# 2003/0009361 A1.

As per claim 1, Hancock et al. teaches "a database of records relating to a plurality of shipments of goods . . . a first jurisdiction and a second jurisdiction" (see page 3, paragraphs [0039]-[0041]) "a database server in communication . . ." (see page 4, paragraph [0056]) "a user interface . . . member of a supply chain . . ." (see page 9, paragraphs [0126]-[0127]) "each member to modify at least a portion of a record . . . goods" (see page 13, paragraphs [0198]-[0207]).

As per claim 2, Hancock et al. teaches "wherein said application server only allows a particular one of said members to modify said at least a portion of aid record . . ." (see page 13, paragraphs [0198]-[0206]).

As per claim 3, Hancock et al. teaches "an electronic interchange server in communication with said database server" (see page 4, paragraph [0056]).

As per claim 4, this claim is rejected on grounds corresponding to the arguments given above for rejected claims 1 and 3 and are similarly rejected.

As per claim 5, Hancock et al. teaches "allows access to database maintained by governments of said jurisdictions" (see page 3, paragraphs [0039]-[0041]).

As per claims 6-7, Hancock et al. teaches "a file transfer protocol server for enabling receipt and transmission of said records using the file transfer protocol" (see pages 8 and 9, paragraphs [0118]-[0119]).

As per claim 8, this claim is rejected on grounds corresponding to the arguments given above for rejected claims 1-2 and are similarly rejected.

As per claim 9, this claim is rejected on grounds corresponding to the arguments given above for rejected claims 1 and 4-5 and is similarly rejected including the following:

--Hancock et al. teaches "a query for information stored in database . . ." (see pages 12-13, paragraph [0192] and page 14, paragraph [0214]).

As per claims 10-11, these claims are rejected on grounds corresponding to the arguments given above for rejected claims 1 and 5 and are similarly rejected.

As per claim 12, this claim is rejected on grounds corresponding to the arguments given above for rejected claim 2 and is similarly rejected.

As per claims 13-16 and 18, these claims are rejected on grounds corresponding to the arguments given above for rejected claims 1-2 and are similarly rejected.

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As per claim 17, this claim is rejected on grounds corresponding to arguments given above for rejected claim 9 and is similarly rejected.

As per claims 19-21, these claims are rejected on grounds corresponding to the arguments given above for rejected claims 1, 8 and 4 are similarly rejected including the following:

Hancock et al. teaches "first metric derived from data in a database . . ." (see page 9, paragraphs [0121]-[0126]).

As per claim 20, Hancock et al. teaches "a table for shipment identities . . . consolidation information . . . shipment information . . ." (see fig. 9b—sheet 13 of 26 and fig. 9c—sheet 14 of 26) "economic transaction information . . detail information . . . customs entry information . . ." (see page 3, paragraphs [0039]-[0041]) "classification information . . . government department information" (see fig. 4—sheet 4 of 26 and page 3, paragraphs [0039]-[0041]).

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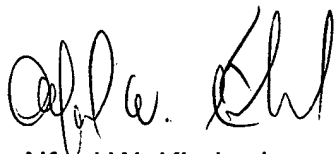
Conclusion

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. US# 2002/0169658 A1; US# 2002/0062280 A1; US# 6,598,027 B1; US# 2003/0069831 A1.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alford W. Kindred whose telephone number is 703-305-3802. The examiner can normally be reached on Mon-Fri 9:00 am- 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu can be reached on (703) 305-4393. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Alford W. Kindred
Patent Examiner
Tech Ctr. 2100